



AdvancED Accreditation Policies and Procedures
for NCA CASI, NWAC, and SACS CASI Accreditation

Effective 2007-08 – Updated June 2012

The AdvancED accreditation policies and procedures outlined in this document represent the unified policies and procedures for accreditation from AdvancED's Accreditation Divisions: North Central Association Commission on Accreditation and School Improvement (NCA CASI), Northwest Accreditation Commission (NWAC), and Southern Association of Colleges and Schools Council on Accreditation and School Improvement (SACS CASI).

These policies and procedures apply to all schools/school systems seeking NCA CASI, NWAC, or SACS CASI accreditation, with the exception of postsecondary non-degree granting institutions seeking NCA CASI accreditation. Separate policies and procedures apply to these schools.

For the purposes of these policies, the term school system includes school districts, systems of schools, corporations, and education service agencies.

POLICY I: ACCREDITATION

1.01 Accreditation. In order to earn and/or maintain NCA CASI, NWAC, or SACS CASI accreditation, a school or school system must: 1) meet the accreditation standards, policies, and procedures of AdvancED; 2) host an external review team at least once every five years; 3) engage in continuous improvement; 4) submit all required accreditation reports; and 5) pay required accreditation fees. The revision and adoption of the accreditation policies and procedures of AdvancED shall be the responsibility of the AdvancED Accreditation Commission with ratification from the AdvancED Board of Trustees.

POLICY II: TERM AND REQUIREMENTS OF ACCREDITATION

2.01 Term. A school/school system is accredited for a five year term, as long as the school/school system continues to satisfy the conditions for accreditation:

- a. The school/school system adheres to the AdvancED accreditation standards and policies and authentically engages in the AdvancED process and procedures for continuous improvement to achieve results.
- b. Between six months and four weeks prior to the External Review the school/school system completes and submits the AdvancED Self Assessment and other documentation required for the External Review.
- c. The school/school system hosts an External Review at least once every five years.
- d. Two years following the External Review, the school/school system completes a progress report on the team's required actions. (A school/school system placed on Advisement, Warned, or Probation, if required, must submit more frequent progress reports.)
- e. The school/school system pays accreditation fees as required.

2.02 Additional Requirements. In addition to satisfying the conditions outlined in 2.01, a school/school system must adhere to the following:

- a. **Compliance with Applicable Governmental Requirements.** The school/school system must comply with all applicable governmental requirements, including any requirements for governmental approval, recognition, or accreditation. A school's/school system's loss of its governmental approval, recognition, or accreditation may be grounds for an accreditation review and monitoring review that may result in a change in accreditation status in accordance with the procedures outlined in this document.
- b. **Non-discriminatory Admission of Students.** Schools and school systems accredited through AdvancED's NCA CASI, NWAC, and SACS CASI shall not discriminate on the basis of race, creed, color, sex, national or ethnic origin, age, or disabilities or act unlawfully in the administration of their educational policies, scholarship, admission, and loan programs.
- c. **Records Retention.** Schools/school systems are required to maintain and implement a records retention system that meets applicable government requirements for all operating, financial, personnel, and student records. The records retention system applies to paper and electronic records, includes appropriate back-up systems, and details consistent processes for records destruction. Schools/school systems must identify processes for the ongoing access and maintenance of all relevant records in the event of school/district closure.
- d. **Institutional Integrity.** A school/school system is required to represent itself accurately in all aspects of the accreditation process. If a school/school system misrepresents itself, including accreditation status, to the public; has any condition that may be detrimental to the clientele of the school/school system; or falsely reports its compliance with the policies and standards for accreditation; the school's/school system's accreditation can be dropped. If a school's/school system's accreditation is recommended to be dropped, the school/school system shall be afforded due process in consideration of such action.
- e. **Substantive Change.** A school/school system must report to AdvancED within sixty (60) days of occurrence any substantive change in the school/school system, which changes the scope and/or has an impact on the school's/school system's ability to meet the AdvancED standards and policies. The report of a substantive change must describe the change itself as well as detail the impact of the change on the quality of education in the school/school system. Substantive change areas include, but are not limited to, the following:
 - Consolidation or reorganization of the school
 - Mission and purpose of the institution
 - Governance structure of the school/school system including changing to a charter school/school system, being the subject of a state takeover, or a change in ownership

- Grade levels served by the school/school system
- Staffing, including administrative and other non-teaching professional personnel
- Available facilities, including upkeep and maintenance
- Level of funding
- School day or school year
- Establishment of an additional location geographically apart from the main campus
- Student population that causes program or staffing modification(s)
- Available programs, including fine arts, practical arts and student activities

School/school system failure to submit a substantive change may result in changes to the school's/school system's accreditation status and/or loss of accreditation.

- f. **Credits or Grade Placement.** An AdvancED NCA CASI, AdvancED NWAC, or AdvancED SACS CASI accredited school shall accept and classify transfer credits earned or grade placement from schools that are accredited by a recognized national, regional,¹ or state accrediting agency without further validation based on the school's policies and procedures governing such offerings. The school's policies and procedures should be designed to ensure proper academic placement of the student.

A school may accept credits or grade placement from non-accredited schools when validated by one or more of the following procedures: a review of the student's academic record, an analysis of a sending school's curriculum, a review of a portfolio of student work, or through an assessment of scholastic performance. The receiving school must maintain policy and procedures to govern the acceptance of credit or grade placement from non-accredited sources.

A school should provide prompt and accurate transcript services for students entering or leaving the school in accordance with local policy.

¹ Recognized regional accrediting agencies include the Southern Association of Colleges and Schools, Middle States Association of Colleges and Schools, New England Association of Schools and Colleges, North Central Association of Colleges and Schools, Northwest Accreditation Commission, and the Western Association of Schools and Colleges.

2.03 NCA CASI, NWAC, and SACS CASI Responsibilities. In carrying out the unified accreditation policies and procedures of AdvancED, NCA CASI, NWAC, and SACS CASI are responsible for ensuring school/school system adherence to the AdvancED standards and policies, conducting an External Review to every school/district at least once every five years, reviewing all school/school system reports, granting accreditation status for all schools/school systems, responding to complaints by and about schools/school systems, and maintaining accurate, complete, and timely records.

- Code of Ethics.** In performing the responsibilities outlined above, all agents of NCA CASI, NWAC, and SACS CASI shall adhere to the AdvancED Code of Ethics.
- Gifts.** Members of External Review teams and other agents of AdvancED's NCA CASI, NWAC, or SACS CASI Accreditation Divisions who provide services to

schools/school systems are prohibited from accepting gifts, other than school/school system logo items of minimal value, from schools/school systems.

- c. **Investigations.** In performing its duties, NCA CASI, NWAC, and SACS CASI may investigate an accredited school/school system on any matter related to possible violations of AdvancED standards and policies at any time. AdvancED's accreditation divisions shall use its judgment and discretion in determining if a complaint rises to a level justifying an investigation. Investigations will only be initiated when supported by substantial evidence and when they involve matters that could seriously hinder or disrupt the educational effectiveness of the institution and ability of the institution to meet the AdvancED Accreditation standards or policies. All investigations shall be conducted with proper attention to due process, and procedures shall be followed to protect the rights of all parties. The accreditation status of an accredited school/school system may be changed as deemed appropriate through the investigative process, and timelines set forth in Policy III may not necessarily apply to special investigations and subsequent monitoring reviews. When warranted by a change of status recommendation, the results of an investigation shall be reported to the appropriate AdvancED NCA CASI, NWAC, or SACS CASI Council or AdvancED Accreditation Commission or successor board/commission for appropriate action.
- d. **Maintenance of and Public Access to School/District Records.** AdvancED maintains a record retention system that includes procedures for maintenance and access to school/school system records. AdvancED retains all school/school system final accreditation reports and official correspondence for a 10-year period, documenting two full terms of accreditation. Effective July 1, 2009, AdvancED makes available for public access the school's/school system's accreditation status, school's/school system's term of accreditation, and school's/school system's date of initial accreditation. Effective for all schools/districts reviewed after July 1, 2009, following action by the AdvancED Accreditation Commission, AdvancED makes available for public access the school's/school system's Executive Summary, External Review Report, Accreditation Progress Report, and any special or interim accreditation reports.

POLICY III: ACCREDITED AND NON-ACCREDITED STATUSES

3.01 Accredited Status. There are four accreditation statuses that may be conferred on a school/school system as a result of an External Review. The accreditation status is based on the performance of a school/school system in areas related to the accreditation standards, policies, assurances, student performance results, and stakeholder feedback. No provisions in Policy III prevent a school's/school system's accreditation status from being changed upon a different timeline established due to the findings of a special investigation and/or possible subsequent monitoring reviews.

- a. Accredited
- b. Accredited On Advisement
- c. Accredited Warned
- d. Accredited Probation

3.02 Non-accredited Status. There are three non-accredited statuses that may be conferred on a school/school system.

- a. **Applicant.** The school/school system has submitted formal application for accreditation but has not yet hosted the readiness review. A school/school system may also be in the applicant category if it has submitted formal application, hosted a readiness review, and been found by the readiness team and State Office to not have the capacity to meet the standards required to earn accreditation. A school may remain an applicant for no more than two years. If the school/school system fails to achieve accredited status within said two year period, said school/school system must wait two years and begin the process anew.

An applicant school/school system may not project future or expected accreditation status. All applicant schools/school systems are not accredited until such status is officially granted. In any public announcements regarding the school's/school system's pursuit of accreditation, the applicant school/school system must avoid any implication that applicant status equates with accreditation or automatically leads to accreditation. AdvancED's Accreditation Divisions shall have full authority and discretion to deny accreditation status to any school/school system determined by AdvancED to be in violation of this policy. While an applicant, the school/school system pays full accreditation fees.

- b. **Candidate.** The school/school system has submitted formal application and has hosted a readiness review. The readiness team and State Office find that the school/school system has the capacity to meet the standards required to earn accreditation, but the school/school system has not yet hosted an External Review Team. A school/school system may remain in candidacy for no more than two years. During candidacy, the school/school system pays full accreditation fees.

A candidate school/school system may not project future or expected accreditation status. All candidate schools/school systems are not accredited until such status is officially granted. In any public announcements regarding the school's/school system's pursuit of accreditation, the candidate school/school system must avoid any implication that candidacy status equates with accreditation or automatically leads to accreditation. AdvancED's Accreditation divisions shall have full authority and discretion to deny accreditation status to any school/school system determined by AdvancED to be in violation of this policy.

- c. **Dropped.** The school/school system:
 - 1. Does not meet the standards or requirements of the accreditation process; or
 - 2. Finds it is no longer able to meet the AdvancED standards and/or accreditation policies and notifies the respective NCA CASI or SACS CASI office that it wishes to have its accredited status dropped; or
 - 3. Fails to pay accreditation fees; or

4. Has been Accredited Probation and fails to make substantial progress on the required actions within the timeframe established by AdvancED policies and procedures or review team recommendation; or
5. Has been found by an investigative team to no longer adhere to the standards and accreditation requirements or procedures (refer to section 6.04); or fails to cooperate with any accreditation team investigation or request for information.

The dropped status is effective on the date of official action by the AdvancED Accreditation Commission to drop the school/school system. A school/school system that has been dropped from accreditation must remove all references to its accredited status from school/school system diplomas, certificates, websites, buildings, literature, and the like.

A dropped school/school system may seek reinstatement within one year of receiving the dropped status. After one year, the dropped school/school system must reapply and follow the same procedures as new schools/school systems.

3.03 Ongoing Monitoring. The accredited/non-accredited status of a school/school system is regularly monitored by AdvancED's Accreditation Divisions and State Offices of NCA CASI, NWAC, and SACS CASI and may be changed based on new or corrected information provided by the school/school system, external review team reports, reports from special reviews, complaints submitted, or other sources.

3.04 Reinstatement. Schools/school systems may request reinstatement of their accredited status with their original date of accreditation if they were dropped from accredited status in the prior year. The steps for reinstatement are as follows:

- a. School contacts the State Office to obtain the Request for Reinstatement form.
- b. School completes the Request for Reinstatement form and submits its current accreditation fees to the AdvancED Accreditation Office.
- c. The Accreditation Office contacts the school to confirm receipt of the request and notifies the State Office.
- d. The Accreditation Office includes the request for reinstatement with its accreditation actions/recommendations for review by the AdvancED Accreditation Commission or appropriate AdvancED Council¹ with final approval of said action by the AdvancED Accreditation Commission.

The school's accreditation term continues. The school is reinstated in its original accreditation term. The school must satisfy all requirements of the accreditation term in which it is being reinstated. A school that dropped in its fifth year of the accreditation term and reinstates must host an External Review upon reinstatement.

¹ Council as referenced here and throughout this document refers to the advisory body for schools/districts residing within a specific jurisdiction such as a state, group of states, region, and/or country(ies).

POLICY IV: PROCEDURES FOR INITIAL ACCREDITATION

4.01 Overview. Schools/school systems seeking initial accreditation must demonstrate that they meet the AdvancED standards and policies, have the capacity to support school/school system improvement, and are committed to growth in student learning and organizational effectiveness.

4.02 General Guidelines. Following are general guidelines for all schools/school systems seeking initial accreditation:

- a. A school/school system must be in operation for at least two years with demonstrated financial stability before it may be accredited.
- b. A school/school system must certify that it possesses the appropriate licenses to operate if licensing is required by local or state statutes.
- c. A school/school system must host a readiness review within three months after applying.
- d. A school/school system must host an External Review within two years after becoming a candidate.
- e. A school/school system that does not host the readiness or External Review within the prescribed time period must re-apply.

4.03 Application Process. The school/school system must complete and submit all required application materials, including application dues/fees.

The new school application fee applies to any new school that is making application as a single school. Schools that apply as part of a group of schools at the same time (such as two or more schools from a school system or diocese) pay one application fee for the full group. For example, a school system that wishes to submit applications for multiple schools pays only one application fee as long as all of the schools' applications are submitted at the same time.

4.04 Readiness Review and Candidacy Status. Upon receipt of completed application materials, the appropriate NCA CASI, NWAC, or SACS CASI office coordinates a readiness review to the school/school system. The purpose of the review is to:

- a. Determine if the school/school system has the capacity and integrity to meet and adhere to the AdvancED standards and policies.
- b. Determine if the school/school system has the capacity to support continuous school improvement.
- c. Make a determination if the school/school system should become a candidate for accreditation or remain as an applicant.

Upon achievement of candidate status, the school/school system completes the Self Assessment and prepares for the External Review.

- 4.05 External Review and Accreditation Recommendation.** The candidate school/school system hosts an External Review within two years of receiving candidacy status. The External Review team makes an accreditation recommendation that is reviewed, along with other documentation, at the state level and by the AdvancED Commission for NCA CASI, NWAC, or SACS CASI or successor board/commission which grants the final accreditation status.
- 4.06 Earning Accredited Status.** Upon achieving accredited status, the school/school system engages in the tasks required of all schools/school systems to maintain their accredited status.
- 4.07 Remaining in Candidacy Status.** If accreditation is not conferred on the school/school system, the school/school system remains as a candidate for accreditation. To achieve accreditation, the school/school system must meet the requirements specified in the External Review report within one year. It must submit documentation to its respective state office which will make an accreditation recommendation for review and approval by the appropriate governing board. If the school/school system fails to meet the requirements specified in the External Review report, its candidacy status will be removed.
- 4.08 Schools from a School System that is District Accredited.** Schools applying for initial accreditation that are part of districts or systems that are district accredited use the following procedures.
- a. The school completes and submits an application for accreditation.
 - b. The school system certifies that the school meets AdvancED accreditation standards and is actively engaged in the school system's process for quality assurance.
 - c. Upon receiving the school system's certification, the State Office makes an accreditation recommendation that is submitted to the AdvancED Accreditation Commission for final approval.
 - d. Upon earning accredited status, the school engages in the school system's approved plan for accreditation.
 - e. If the school is not recommended for accreditation, the school system must submit a plan for how it will address any noted deficiencies to ensure the school is ready for accreditation within a year.

POLICY V: PROCEDURES FOR CONSOLIDATED, REORGANIZED, AND OTHER SCHOOLS

- 5.01 Consolidated Schools.** With the concurrence of the AdvancED Council, a consolidated school may retain continuing accreditation provided that at least one of the schools involved in the consolidation is accredited by any of AdvancED's Accreditation Divisions such as

NCA CASI, NWAC, or SACS CASI at the time of the consolidation. There must be no break in accreditation. The school must submit written notification of consolidation to the appropriate AdvancED State Office or AdvancED Regional Office. The AdvancED State Office will review the notification with the appropriate AdvancED Council for concurrence and submit appropriate forms/notification to the AdvancED Accreditation Office.

5.02 Reorganized Schools. A new school or schools formed by reorganization of an accredited school may retain continuing accreditation with the concurrence of the appropriate AdvancED Council. The reorganized school must submit written notification of its reorganization to the appropriate AdvancED State Office. The AdvancED State Office will review the notification with the AdvancED Council and determine whether the reorganized school may continue its accreditation or be required to apply as a new school and follow the new school procedures.

5.03. Extension or Branch Campuses. An extension or branch campus of a school can be accredited as part of the main campus provided the following conditions are met:

- a. The extension or branch campus is under the direct supervision of the administrative head of the main campus school.
- b. The extension or branch campus serves students from the main campus (it does not serve students from more than one school).
- c. The extension or branch campus provides a program of services that are a part of the total educational program (it does not duplicate services or programs).
- d. The director or supervisor of the extension or branch campus reports directly to the administrative head of the main campus school.
- e. The extension or branch campus operates under the same state education agency school number as the main campus.

5.04. Schools within a School. Schools within a school are treated as separate schools and are required to comply with all AdvancED accreditation requirements if the state education agency has provided them with distinct school numbers. If the schools share the same state-provided school number, they will be treated as one school by AdvancED.

POLICY VI: PROCEDURES REGARDING COMPLAINTS BY AND ABOUT ACCREDITED SCHOOLS/SCHOOL SYSTEMS

6.01 Written complaint. Any complaints submitted by and about schools/school systems must be submitted in writing, both paper or electronic notices are acceptable. All complaints should include the following information to allow for proper review and if determined, in the sole discretion of AdvancED's Accreditation Divisions, appropriate investigation:

- a. The name, address, phone number, and other pertinent contact information of the complainant.

- b. A description of the circumstances or events and any relevant documentation that support the complaint.
- c. A statement of relationship with the individual involved, if the complainant is not the aggrieved individual. Complaints concerning individual students will only be investigated or sent to the school if the complaint is made or authorized by a student of majority age or by an individual that has the legal authority and right to represent the student.
- d. The name, address, and other contact information for the individual at the school/school system that has been contacted by the complainant to resolve the problem or situation.
- e. Permission for representatives of AdvancED to access any records concerning the complainant if such records are not available to the public.
- f. A statement of first-hand knowledge of the substance of the complaint, unless the complaint is supported by reliable documentation.

6.02. Complaints Regarding Child Abuse, Sexual Harassment, or Discrimination. Any complaint that identifies potential child abuse, sexual harassment, or discrimination on the part of a student or staff member, shall be forwarded immediately to the appropriate agency authorized to investigate such complaints. Unsigned complaints concerning potential child abuse, sexual harassment, or discrimination will be forwarded to the principal and the superintendent or other similar official in the school/school system's organization. Findings by the appropriate agency may result in action by AdvancED's NCA CASI, NWAC, or SACS CASI governing board or its successor board/commission if the findings are made available to the governing board.

6.03 Individual Grievances. Isolated and individual grievances between a school/school system and person are not adjudicated. Complaints of that nature, documented and signed by the person initiating the complaint, will be forwarded to the school/school system. The school/school system shall respond to the complaint within 30 days. A copy of the complaint and the school/school system's response to it will be retained by the appropriate AdvancED NCA CASI, NWAC, or SACS CASI office. If several individual complaints against a school suggest a particular violation or pattern of violations which might affect the school/school system's ability to meet AdvancED standards or policies, further investigation may be authorized and shall occur within a reasonable period of time.

6.04 Investigation of Complaints. Complaints that are determined by AdvancED to sufficiently identify potential violations of AdvancED standards or policies will be investigated. Said determination of whether sufficient grounds exist to begin an investigation is in the sole discretion of AdvancED.

- a. If the investigating body believes that a school's/school system's response to a complaint does not address the complaint or if a school/school system fails to address the complaint in a timely manner, the information about the complaint will be shared

with the External Review team scheduled for the next review of the school/school system or with representatives of a special investigation team being sent to the school/school system to conduct a special review into the complaint and empowered with the ability to make a recommendation as to the Accreditation status of the school/school system. The special review team is charged with investigating said complaint, as well as, investigating the possible violation of any other AdvancED standards and policies that may be discovered through a diligent and thorough investigation.

- b. The findings from an investigation of a complaint may result in changes to a school/school system's accreditation status. The AdvancED Accreditation Commission and AdvancED Board of Trustees do not have civil authority to impose any order of settlement on a school/school system or its representatives. Complainants seeking a settlement, payment, or compensation should pursue their concern through the channels offered by a State Department of Education or other legal authority in the state.

POLICY VII: APPEAL PROCEDURES

- 7.01 Right to Appeal.** A school/school system has the right to appeal a decision to drop its accreditation status. An accredited school/school system remains accredited until the final disposition of the appeal. The accreditation status of the school/school system does not change until all rights of appeal pursuant to the Policy are exhausted. Actions regarding adverse action and the review of the decision shall be carried out in a timely and expeditious manner to ensure protection of the public interest and the institution.
- 7.02 Adverse Decision.** The Commission shall, no later than fourteen (14) days after the adverse action is approved, notify the school/school system in writing, delivered by overnight service or Certified Mail, Return Receipt Requested. The written notification shall specify the standards and/or criteria not met. A copy of this Policy shall be provided to the school/school system along with a notice of the adverse decision. After the Commission vote for an adverse decision, if no notice intent to appeal is filed as provided in Section 7.04 below, its decision becomes final.
- 7.03 Grounds for Appeal.** The grounds on which an appeal may be taken are (a) departure by the Commission from the procedures established by written policy or agreement or by recognized custom which is of such significance as to affect materially the adverse decision; (b) the citing by the Commission of factually incorrect information as basis for its decision which is of such significance as to affect materially the Commission's adverse action; (c) bias, as evidenced by a demonstrable intent on the part of evaluators, the Commission, or the Commission's professional staff to prejudice the evaluation or other review of the institution's status of accreditation, such bias being of such significance as to affect materially the Commission's adverse accrediting action; or (d) the adverse action is arbitrary and capricious.
- 7.04 Appeal Procedures.** A school/school system wishing to appeal shall do so in accordance with the procedures set forth below:

- a. The school/school system shall file its intent to appeal an accreditation decision within ten (10) days of receipt of the written notice of the decision for adverse action. See Section 7.03 for grounds for an appeal. A notice of an intent to appeal shall be filed only with the prior authorization of the governing board of the institution.
- b. The notice of intent to appeal shall be submitted via overnight service or Certified Mail, Return Receipt Requested to the President/Chief Executive Officer of AdvancED. The notice of intent to appeal shall contain a statement of the ground(s) on which the appeal will be made but need not provide evidence in support of the appeal.
- c. The school's/school system's written appeal shall be submitted within twenty (20) days of filing notice of intent to appeal in person or by certified mail, return receipt requested, with President/Chief Executive Officer. The school's/school system's written appeal shall set forth the evidence and its argument as the basis for its appeal. Only evidence previously submitted to AdvancED may be included in the submission. New evidence will not be considered. At the time of filing the written appeal, the school/school system shall advise the President/Chief Executive Officer whether it will present oral arguments at the appeals hearing and, if so, with or without legal counsel. In the event the school/school system determines not to send representatives to the appeals hearing, then AdvancED shall likewise not send representatives to the hearing, and the Appeals Panel will be authorized to decide the appeal based on the written submissions of the parties. Within fifteen (15) days following receipt of the written appeal, the Commission shall submit its response in writing to the President/Chief Executive Officer with a copy to the school/school system.
- d. The school/school system shall submit to the President/Chief Executive Officer a bond for costs of the appeal in the amount of twenty-five thousand dollars (\$25,000.00) that accompanies the notice of intent to appeal. After the costs of the appeal have been deducted from the amount of the bond, any unused portion of the bond shall be returned to the school/school system. If the costs of the appeal exceed the amount of the bond, the school/school system shall pay the additional costs to AdvancED.
- e. A Panel of three impartial evaluators shall be selected by the AdvancED President/Chief Executive Officer, and confirmed by the Chair of the AdvancED Board of Trustees, to hear the school/school system's appeal. The Panel shall be selected from a standing appeals body appointed by the AdvancED Board of Trustees to serve for staggered three year terms. The standing appeals body shall be comprised of educators who are knowledgeable about accreditation requirements but do not hold any other appointed, paid, or elected position with AdvancED, NCA CASI, NWAC, or SACS CASI. At least one member of the standing appeals body shall be a public member. If the school/school system has good cause to believe that any member of the standing appeals body should not hear the school's/school system's appeal, it shall notify the President/Chief Executive Officer in writing of the basis for its objection at the time it submits its written appeal. Objections to the composition of the Panel shall be heard and ruled upon by the Chair of the Board of Trustees in consultation with the President/Chief Executive Officer. The President/Chief Executive Officer will promptly notify the school/school system of the names of the members of the Appeals Panel and the date and place of the hearing. The President/Chief Executive office will transmit a

- copy of the school's/school system's appeal documents and the Commission's response to each member of the Appeals Panel in advance of its meeting.
- f. The Appeals Panel will meet within sixty (60) days of receipt of the school/school system's intent to appeal. The school/school system shall not have the right to cross-examine individual agents of AdvancED staff. AdvancED may request that its legal counsel be present to advise the panel members. The school/school system may request that the appeal hearing be transcribed. No audio-taping or video-taping of the appeal is permitted. Evidence in support of the appeal shall be limited to that evidence presented to the Commission prior to making its adverse accrediting action except as hereinafter provided. The Appeals Panel shall consider evidence bearing only upon the grounds specified for the appeal. Additional written materials or evidence not presented to the Commission at the time of its original decision as a part of its review (or of its decision following a remand as provided below) may not be presented to the Appeals Panel. Other than at the appeals hearing, the Appeals Panel shall not meet with representatives of either the school/school system or the Commission.

The decision of the Appeals Panel shall be determined by majority vote. In the event of a tie vote, the appeal shall be deemed denied. The Appeals Panel shall meet in executive session to reach its decision following the close of hearing. Appeals hearings shall not be conducted as if they were judicial proceedings. Rules of evidence, pre-hearing discovery, the right to subpoena witnesses, and the right to cross examine witnesses shall not be permitted, provided however, members of the Appeals Panel may ask questions of the schools/school systems and the Commission's representatives present at a hearing. The school/school system appealing an adverse accrediting action shall bear the burden of proof and may be represented by legal counsel at the hearing to present or assist in the presentation of the school's/school system's appeal. The Commission may be represented by legal counsel at the hearing. The chairperson of the Appeals Panel may limit the presentations of the school/school system and the Commission to one half hour each, provided however, the time for presentations and questioning may be extended to either or both parties as determined in the sole discretion of the chairperson. The order of presentation shall be first, the school/school system and second, the Commission. Within the sole discretion of the chairperson as to time and scope, the Appeals Panel may permit the school/school system to present final comments and/or arguments following the Commission's presentation. Nothing herein shall be deemed to require either the school/school system or the Commission to make any oral presentation at the Appeals Panel hearing and the failure to do so shall have no bearing or relevance in reaching a decision by the Appeals Panel. The Appeals Panel decision shall contain the Panel's ruling together with the reasons therefore as well as any additional information deemed pertinent by the Panel and shall be the only written decision of the Panel.

The Appeals Panel decision shall be filed by the Appeals Panel with the President/Chief Executive Officer on or before the tenth (10th) day following the close of the hearing. The Appeals Panel shall have the authority to affirm, amend, reverse or remand the Adverse Action and will direct the Commission to implement the decision in a manner consistent with the Appeals Panel's decisions or instructions. In a decision to remand the adverse action to the Commission for further consideration, the Appeals Panel must identify specific issues that the Commission must address. The Appeals Panel shall

inform the school/school system, the Commission, and the Board of Trustees of AdvancED of its decision within seven (7) days of filing its decision with the President/Chief Executive Officer.

- g. All expenses of the appeal, including all expenses of the members of the Appeals Panel, are to be borne fully by the school/school system submitting the appeal.
- h. The Appeals Panel can either uphold the decision of the AdvancED Board of Trustees or remand the decision to the AdvancED Accreditation Commission for reconsideration. If the Appeals Panel upholds the decision of the AdvancED Board of Trustees, the action of that board is final.
- i. If the Appeals Panel remands the decision to the AdvancED Accreditation Commission for further consideration and the Commission adheres to its original decision, the matter shall be considered final.